IIW

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Brian K. Neville

SERIAL NO.:

10/578,563

FILING DATE:

May 8, 2006

TITLE:

Non-Contact Case Turning Apparatus

DOCKET NO.:

ALVY 8781 WO-US

ART GROUP:

3651

EXAMINER:

Hess, Douglas A.

Express Meil Label No.

50561512466 US

St. Louis, Missouri June 9, 2009

Mail Stop IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR § 1.97 AND 37 CFR § 1.98

Sir:

The information, patents and/or literature references listed on the attached Form PTO-1449, copies of which are enclosed, may be relevant to the examination of this application.

Pursuant to 37 CFR § 1.97(e), the undersigned attorney hereby certifies that to the best of his information and belief that the references cited on Form PTO 1449 were first cited in a communication from the European Patent Office; that the communication pertains to International Patent Application PCT/US2004/038249 and European Patent Application No. 04811098.5, both of which derive priority from U.S. Provisional Application 60/518,970, and is the counterpart of U.S. Nonprovisional Application 10/578,563; and that the information, patents, and/or literature references listed on the attached form PTO-1449 were first cited in a communication from a European Patent Office in the counterpart foreign application and was received by the undersigned attorney not more than three months prior to the filing of the attached Information Disclosure Statement, and before the

mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the present application. Therefore, no fee is due.

The communication from the European Patent Office, which communication is dated January 29, 2002, is likewise submitted herewith to show the degree of relevance accorded the individual references. Specifically, the column entitled "Category" on pages 1 and 2 of the Supplementary International Search Report identifies the category of relevance for each reference cited and claimed. The claims of the International application correspond to the original claims in the counterpart U.S. Nonprovisional Application. According to MPEP 609, this identification of relevance satisfies the requirements of 37 CFR § 1.98 for a concise explanation of foreign language documents.

Respectfully submitted,

Douglas E. Warren, Reg. No. 52,344

Attorney at Law

Customer Number 50976

Post Office Box 6727

Chesterfield, Missouri 63006

Telephone: 636-519-5257

Facsimile:

636-536-0517

CERTIFICATE OF MAILING

I hereby certify that on June 9, 2009, this correspondence is being deposited with the United States Postal Service Express Mail Service, Next Day Delivery to Addressee, with sufficient postage as required, and was addressed to:

> Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

> > Douglas E. Warren, Reg. No. 52,344